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STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN	Docket Number (Optional)
Applicant, Patentee, or Identifier: <u>Peter Anthony MILLER</u> Application or Patent No.: <u>PCT/AU98/00199</u> Filed or Issued: <u>26 MARCH 1998</u> Title: <u>A COST-EFFECTIVE BEER BREWING PROCESS</u>	
I hereby state that I am <input type="checkbox"/> the owner of the small business concern identified below; <input type="checkbox"/> an official of the small business concern empowered to act on behalf of the concern identified below.	
NAME OF SMALL BUSINESS CONCERN <u>MILLER FILTER / PETER MILLER</u>	
ADDRESS OF SMALL BUSINESS CONCERN <u>SOP STRASSE 27, D-71229 LEONBERG</u>	
<p>I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.</p> <p>I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:</p> <p><input checked="" type="checkbox"/> the specification filed herewith with title as listed above. <input type="checkbox"/> the application identified above. <input type="checkbox"/> the patent identified above.</p> <p>If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).</p> <p><input checked="" type="checkbox"/> Each person, concern, or organization having any rights in the invention is listed below. <input type="checkbox"/> no such person, concern, or organization exists. <input type="checkbox"/> each such person, concern, or organization is listed below.</p> <p>Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)</p> <p>I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))</p>	
NAME OF PERSON SIGNING <u>Peter A. MILLER</u>	
TITLE OF PERSON IF OTHER THAN OWNER _____	
ADDRESS OF PERSON SIGNING <u>See STRASSE 27, D-71229 D-LEONBERG</u>	
SIGNATURE <u><i>P. Miller</i></u> <u>GERMANY</u>	

Burdens Hour Statement: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20531. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20531.

ANNEX 8

Approved for use through 9/30/00. PTO/SR01 (12-01)
 Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
 Under the Patent and Trademark Act of 1980, persons are notified that this form is a controlled document and its use is restricted to the purposes for which it was issued.

DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 155(c) of any PCT international application designating the United States of America, filed before and under the subject matter of each of the claims of this application and, in the case of the United States of PCT international application in the national phase by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
PCT/AV98/00199	26 MAR 98	-

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB122 attached hereto.
 As a signed inventor, I hereby appoint the following registered practitioner(s) to prosecute the application and to transmit all business in the Patent and Trademark Office connected therewith: ☐ Customer Number ☐ OR ☐ Registered practitioner(s) including information number listed hereto.

Name	Registration Number	Name	Registration Number
SOLE INVENTOR TO PROSECUTE APPLICATION			

☐ Additional registered practitioner(s) listed on supplemental Registered Practitioner Information sheet PTO/SB122 attached hereto.

Direct all correspondence to: ☐ Customer Number ☐ OR ☐ Correspondence address below

Name			
Address			
Address			
City	State	ZIP	
Country	Telephone	Fax	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that such false statements and the like are made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unassigned inventor.

Given Name (first and middle if any)		Family Name or Surname	
F. A. Miller		MILLER	
Inventor's Signature	Date	18.9.00	
Residence: City	State	Country	Citizenship
LEONBERG		GERMANY	AUSTRIA
Post Office Address			
S. STRASSE 27, 71229 LEONBERG GERMANY			
Post Office Address			
City	State	ZIP	Country

☐ Additional inventors are being named on the supplemental Additional Inventors sheet(s) PTO/SB122A attached hereto.

